

SHEET ~~1004~~ 4

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>		ATTY. DOCKET NO.		SERIAL NO.			
		26822-0002		09/518,488			
		APPLICANTS: RONG, Chang-Liang, et al.					
		FILING DATE: 03/03/2000		GROUP: 2873			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<u>Not considered</u>	2002/0182544	12/05/02	Chen Park, et al.				
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY (Inventor)	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER		DATE CONSIDERED					
<i>Tuyen Tia</i>		2/25/2005					

Duplicated  
with IDS  
filed on  
6/27/2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).



PATENT

Attorney Docket No. 26822-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application )  
Inventor(s): LIANG, Rong-Chang, et al. )  
Application No.: 09/518,488 )  
Filed: March 3, 2000 )  
Title: Electrophoretic Display and Novel )  
Process for its Manufacture )

Art Unit: 2873

Examiner: TRA, Tuyen Q.

RECEIVED

SEP 15 2003

INFORMATION DISCLOSURE STATEMENT

OFFICE OF PETITIONS

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Crystal Plaza 4  
Suite C323  
Arlington, Virginia 22202

Sir:

The attached IDS is being filed after a petition under 37 C.F.R. 1.313 and RCE, both filed on September 9, 2003. Please include this IDS in the file for the Examiner's review.

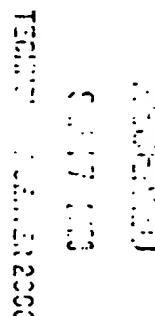
**Listed on an attached Form PTO-1449 is information known to applicant(s).**

The reference listed on the attached Form 1449 is a related US Patent Application. Applicants propose this document for consideration for completeness in cross-citation within the related applications.

A copy of each listed publication and U.S. and foreign patent, except for pending non-published U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 CFR 1.97-1.98.

Applicants respectfully request that the information listed on the attached Form 1449 be considered by the Examiner and made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form 1449 substitute.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.



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Attorney Docket No. 26822-0002

SEP 15 2003

PATENTS

CERTIFICATE OF HAND DELIVERY			
I hereby certify that this correspondence is being hand-delivered to the U.S. Patent and Trademark Office addressed to: Office of Petitions, U.S. Patent Office, Crystal City, Arlington, VA on			
Typed or printed name	<i>Victoria Messenger</i>		
Signature	<i>Victoria Messenger</i>	Date	9/15/03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Examiner: 2873

Rong-Chiang Liang ) Group Art No.: TRA, Tuyen Q.

Serial No: 09/518,488 )

RECEIVED

Filed: March 3, 2000 ) SEP 15 2003

For: Electrophoretic Display and Novel Process  
for Its Manufacture ) OFFICE OF PETITIONS

## OFFICE OF PETITIONS

Crystal Plaza 4  
Suite C323  
Arlington, Virginia 22202

Sir:

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-entitled patent application are the following:

1. IDS W/ PTO 1449 Form/Copy of Cited reference
2. Return Receipt Postcard

Deposit Account Authorization

Please charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 08-1641. This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed.

Respectfully submitted,

Stacy Ann Hegle  
Attorney for Applicants  
Reg. No. 50,687

Date: Sept. 12, 2003

Heller Ehrman White & McAuliffe  
275 Middlefield Road  
Menlo Park, California 94025-3506  
(650) 433-7395

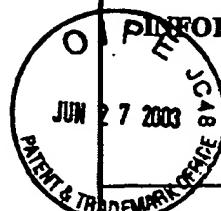
- This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
– OR –
  - (2) It is being filed within 3 months of entry of a national stage  
– OR –
  - (3) It is being filed before the mail date of the first Office Action on the merits  
– OR –
  - (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- a certification as specified in §1.97(e) is provided below; or
  - a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
  - B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
  - C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 26822-0002).

Respectfully submitted,

Dated: Sept. 12, 2003

By:   
 Stacy Ann Hegle  
 Patent Agent, Reg No. 50,687

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 SV 454628 v1  
 9/11/03 1:36 PM (26822.0002)



INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 26822-0002	SERIAL NO. 09/518,488
PTO-1449		APPLICANTS: RONG, Chang-Liang, et al.	
		FILING DATE: March 3, 2000	GROUP: 2873

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
T.T.	4,891,245	01/1990	Micale	/	/	
T.T.	3,928,671	12/1975	Robusto et al.	/	/	
T.T.	6,525,865	02/ 2003	Katase	/	/	
T.T.	US Pub. No. 20020182544 (US 09/784,972)	12/5/2002	Chan-Park, Mary et al.	/	/	02/15/2001
Not considered	US Pub. No. 20020126249 (US 09/759,212)	9/12/2002	Liang, Rong-Chang et al.	Duplicated with IDS received on 09/10/2003		09/12/2001

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
T.T.	WO 00/36649	6/2000	PCT	/	/	<input type="checkbox"/>	<input type="checkbox"/>
T.T.	WO 98/57226	12/1998	PCT	/	/	<input type="checkbox"/>	<input type="checkbox"/>
T.T.	02284125	11/1990	Japan	/	/	<input type="checkbox"/>	<input checked="" type="checkbox"/>
T.T.	02223934	09/1990	Japan	/	/	<input type="checkbox"/>	<input checked="" type="checkbox"/>
T.T.	57104116	06/1982	Japan	/	/	<input type="checkbox"/>	<input checked="" type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

T.T.	Hopper, M.A., et al., "An Electrophoretic Display, its Properties, Model, and Addressing", <i>IEEE Transactions on Electron Devices</i> -26(8):1148-1152 (1979)

EXAMINER	TUYEN TRA	DATE CONSIDERED	9.2.03
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*If an asterisk is placed beside the reference, a copy is not provided because the reference was previously cited by or submitted to the PTO in a related application that is identical in the statement.



SHEET 1 OF 1

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>		ATTY. DOCKET NO.		SERIAL NO.		
		07783.0002.NPUS00		09/518,488		
		APPLICANTS: RONG, Chang-Liang, et al.				
		FILING DATE: 03/03/2000		GROUP: 2873		
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
T.T.	6,319,381	Nov 2001	Nemelka	—	—	—
T.T.	6,652,075	Nov 2003	Jacobson	—	—	—
T.T.	6,524,153	Feb 2003	Ikeda et al	—	—	—
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY (Inventor)	CLASS	SUBCLASS	TRANSLATION
						YES
T.T.	JP 01-300232	Dec 1989	Japan (English translation included)	—	—	-
T.T.	JP 04-113386	Apr 1992	Japan (English translation included)	—	—	
T.T.	JP 59-034518	Feb 1984	Japan (English abstract included)	—	—	
T.T.	JP 62-099727	May 1987	Japan (English abstract included)	—	—	
T.T.	JP 02-223936	Sep 1990	Japan (English abstract included)	—	—	
T.T.	JP 09-160052	June 1997	Japan (English abstract included)	—	—	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
EXAMINER	TUTEN TRA		DATE CONSIDERED	2/24/2005		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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